

Completion Guide

This form is available in hard copy or soft copy on the Elections Ontario website. Please print clearly or type. This initial registration form must be completed and filed with the Chief Electoral Officer before any financial activity occurs. There is no fee to register a constituency association with the Chief Electoral Officer of Ontario.

It is the constituency association's responsibility to file a complete and accurate registration form with the Chief Electoral Officer. Failure to provide the information required will delay the initial registration until all the required information is provided.

Forms may be submitted by mail, fax, email, or hand delivery.

A. CONSTITUENCY ASSOCIATION INFORMATION

Provide the electoral district of the constituency association, the political party or independent member endorsing the constituency association, and the address in Ontario of the constituency association.

Type of Registration

A new registration is the initial registration of a constituency association with the Chief Electoral Officer. For a new registration, complete:

- Sections A through L for a registered political party.
- Sections A through K and section M for an Independent Member.

A change notice is for informing the Chief Electoral Officer of any changes to the initial registration. For a change notice, complete Sections A through H and Section K. For changes to the chief financial officer or auditor, a revised A-1 must be submitted immediately. For changes to any other registration information, a revised A-1 must be submitted within thirty days.

B. PRESIDENT (OR EQUIVALENT)

The constituency association must appoint a President (or equivalent) before registering. Provide the name, office held (if applicable), address and contact information of the President (or equivalent).

C. CHIEF FINANCIAL OFFICER (CFO)

The constituency association must appoint a CFO before registering. Provide the name, address, and contact information of the CFO.

D. AUDITOR

A registered constituency association is required to appoint an auditor within 30 days of receiving at least \$10,000 in contributions or incurring expenses of at least \$10,000, with respect to an election or with respect to a calendar year for which a financial statement is required. An auditor must be a person or firm whose partners living in Ontario are licensed under the *Public Accounting Act, 2004*. The CFO cannot be the auditor. Provide the name, address and contact information of the auditor.

E. ADDITIONAL PRINCIPAL OFFICERS (OPTIONAL)

Provide the name(s), address(es), and contact information of any additional principal officers other than those listed in sections B and C, which could include such positions as Vice President, Treasurer, Secretary or Fund-Raising Chair. If the constituency association has not appointed any additional principal officers, this section may be left blank.

F. PERSON(S) AUTHORIZED TO ACCEPT CONTRIBUTIONS

Provide the name(s), address(es) and contact information of any person(s) authorized to accept contributions, make deposits and issue tax receipts.

G. PLACE WHERE RECORDS ARE KEPT

Provide the name of the record keeper and address of the place in Ontario where the financial records are kept.

H. FINANCIAL INSTITUTION(S) AND SIGNING OFFICER(S)

Provide the name and address of each financial institution in Ontario where the constituency association has an account. If there is more than one financial institution, attach a separate sheet.

Provide the name(s), address(es) and contact information of the signing officer(s) for each financial institution account.

I. STATEMENT OF ASSETS AND LIABILITIES

This statement of assets and liabilities must be completed for the initial registration of a constituency association only. The statement of assets and liabilities must be as of a date not earlier than ninety days prior to the date of the application for registration. This initial statement does not have to be audited.

All figures in the statement may be rounded to the nearest dollar.

The following provides assistance in preparing the initial statement of assets and liabilities:

Line 1 Record the total balance of all financial institution accounts. Deposits, guaranteed investment certificates (GICs) and similar items should be regarded as cash on deposit.

Line 2 Record all cash on hand not deposited including petty cash.

- Line 3 Record only genuine claims (for example, refundable deposits). Do not record contributions not yet received or accepted. These should be recorded only upon being received and deposited.
- Line 4 Record the quoted market value of bonds, stocks and other securities owned at the date of this statement. A stockbroker or manager of a financial institution should be able to provide this information.
- Line 5 If the constituency association owns furniture, fixtures, printing equipment or similar assets, record the nominal value of \$1.
- Line 6 Enter the value of any inventory of campaign materials and other prepaid campaign expenses.
- Line 7 Record the cost of other assets owned, such as real estate.
- Line 8 Record the amount owing at the date of the statement and attach a schedule for each borrowing showing the name of the lender, amount owing and terms of the borrowing (including repayment terms, security given, names of guarantors, etc.).
- Line 9 Record the cost of goods received or services rendered for which bills have been received and are unpaid at the date of the statement.
- Line 10 Record the cost of goods received or services rendered for which bills have not been received and are unpaid at the date of the statement.
- Line 11 Record liabilities not included on other lines and attach details.
- Line 12 Record the figure for surplus (deficit) as the difference between total assets and liabilities. When this amount is inserted, the statement will balance.

J. ATTESTATION OF CFO

The CFO of the constituency association must complete and sign this section attesting to the Statement of Assets and Liabilities (section I). The attestation must be witnessed.

K. CERTIFICATION OF PRESIDENT (OR EQUIVALENT) AND CFO

The President (or equivalent) and CFO of the constituency association listed in sections B and C must complete and sign this section.

L. CERTIFICATION OF THE POLITICAL PARTY CFO (OR DESIGNATE)

The CFO (or designate) of the registered political party endorsing the constituency association should complete this section. Alternatively, a letter of endorsement may be submitted. The political party's CFO must be currently on file with the Chief Electoral Officer as per the filed Political Party Registration and Change Notice Form (P-1). The registered political party's CFO must provide written authorization for appointing a designate.

M. CERTIFICATION OF INDEPENDENT MEMBER

The Independent Member endorsing the constituency association should complete this section.

The A-1 form is open to inspection by any person during normal office hours of Elections Ontario. Any person may make extracts from the documents and is entitled to copies of the documents upon payment for their preparation at such rate as the Chief Electoral Officer may determine. Certain data will also be extracted from the information filed and displayed on the Elections Ontario website.

The Chief Electoral Officer's staff is always available to provide assistance. Please contact us at:

Elections Ontario
Compliance Division
26 Prince Andrew Place
Toronto, ON M3C 2H4

Website: <http://www.elections.on.ca>

Telephone: (416)325-9401
Toll Free: 1-866-566-9066
Fax: (416)325-9466
Email: electfin@elections.on.ca

Constituency Association Registration and Change Notice Form

Compliance Division - 26 Prince Andrew Place Toronto, Ontario M3C 2H4
Telephone: (416) 325-9401 | Toll Free: 1-866-566-9066 | Fax: (416) 325-9466

Disponible aussi en français.

Section A: Constituency Association Information

Note: For a change notice, complete only Sections A through H and Section K.

Registration Type: (check one) New Change

Electoral District:

For Office Use Only					

Political Party or Independent Member:

Address: _____

City: _____ Postal Code: _____

Official Website(s): _____

Section B: President (or equivalent)

The Constituency Association must appoint a President (or equivalent) before registering.

First Name: _____ Last Name: _____

Business Phone: _____ Alternate Phone: _____

Email Address: _____ Fax: _____

Check if address is same as Constituency Association's address:

Address: _____

City: _____ Postal Code: _____

Section C: Chief Financial Officer (CFO)

The Constituency Association must appoint a CFO before registering.

First Name: _____ Last Name: _____

Business Phone: _____ Alternate Phone: _____

Email Address: _____ Fax: _____

Check if address is same as Constituency Association's address:

Address: _____

City: _____ Postal Code: _____

Section D: Auditor

A registered constituency association is required to appoint an auditor within 30 days of receiving at least \$10,000 in contributions or incurring expenses of at least \$10,000, with respect to an election or with respect to a calendar year for which a financial statement is required. The auditor must be licensed under the Public Accounting Act, 2004. The CFO cannot be the auditor.

Firm Name (if applicable): _____

First Name: _____ Last Name: _____

Business Phone: _____ Alternate Phone: _____

Email Address: _____ Fax: _____

Address: _____

City: _____ Postal Code: _____

Contact Person (if different from above) _____

First Name: _____ Last Name: _____

Section E: Additional Principal Officers (Optional)

Provide any additional Principal Officers other than those listed in sections B and C. If the constituency association has not appointed any additional principal officers, this section may be left blank.

First Name: _____ Last Name: _____

Office Held: _____

Business Phone: _____ Alternate Phone: _____

Email Address: _____ Fax: _____

Address: _____

City: _____ Postal Code: _____

First Name: _____ Last Name: _____

Office Held: _____

Business Phone: _____ Alternate Phone: _____

Email Address: _____ Fax: _____

Address: _____

City: _____ Postal Code: _____

First Name: _____ Last Name: _____

Office Held: _____

Business Phone: _____ Alternate Phone: _____

Email Address: _____ Fax: _____

Address: _____

City: _____ Postal Code: _____

To add additional Principal Officers, copy this page, fill out as necessary, and include with your application.

Section F: Person(s) Authorized to Accept Contributions

Provide the name(s) and information of person(s) who are authorized to accept contributions, make deposits, and issue tax receipts. (Check below if applicable)

- Make CFO a person authorized to accept contributions.
- Make President (or equivalent) a person authorized to accept contributions.

Additional Authorized Persons:

First Name: _____ Last Name: _____

Office Held: _____

Business Phone: _____ Alternate Phone: _____

Email Address: _____ Fax: _____

Address: _____

City: _____ Postal Code: _____

To add additional Authorized Persons, copy this page, fill out as necessary, and include with your application.

Section G: Place Where Records are Kept

Provide the name(s) of the record keeper(s) and address(es) in Ontario of the place(s) where financial records are kept. (Check below if applicable.)

- Designate Constituency Association's address as a location where records are kept.
- Designate CFO's address as a location where records are kept.
- Designate President's (or equivalent) address as a location where records are kept.

Additional Record Keeper and Location:

First Name: _____ Last Name: _____

Office Held: _____

Business Phone: _____ Alternate Phone: _____

Email Address: _____ Fax: _____

Address: _____

City: _____ Postal Code: _____

Section H: Financial Institution(s) and Signing Officer(s)

Provide the name and address of each financial institution at which the Constituency Association has an account.

Institution: _____

Address: _____

City: _____ Postal Code: _____

Signing Officer(s) for this Account (Check below if applicable.)

- Make CFO a signing officer for this account.
- Make President (or equivalent) a signing officer for this account.

Additional Signing Officer(s):

First Name: _____ Last Name: _____

Office Held: _____

Business Phone: _____ Alternate Phone: _____

Email Address: _____ Fax: _____

Address: _____

City: _____ Postal Code: _____

First Name: _____ Last Name: _____

Office Held: _____

Business Phone: _____ Alternate Phone: _____

Email Address: _____ Fax: _____

Address: _____

City: _____ Postal Code: _____

To add additional Financial Institutions, Accounts, and/or Signing Officers, copy this page, fill out as necessary, and include with your application.

Section I: Statement of Assets and Liabilities

This statement applies to new registrations only and must be dated no earlier than 90 days prior to the application.

Accounting Methods

The accounting methods set out below are to be followed when preparing all Statements of Assets and Liabilities and all Statements of Income and Expenses that are required to be filed with the Chief Electoral Officer in compliance with the *Election Finances Act*.

Accrual Basis

The accrual basis of accounting is to be observed whereby expenses are recorded on the books of the Constituency Association when they are incurred. Similarly, income is recorded when earned.

Securities

Bonds, stocks, and other securities should be valued at the quoted market value on the date of the initial Statement of Assets and Liabilities. Securities purchased subsequently should be valued at cost. When securities are sold, any resulting profit or loss should be reflected in the Statement of Income and Expenses.

Capital Assets

Furniture, fixtures, printing and computer equipment, etc. should be expensed when purchased. The existence of such assets may be indicated on the Statement of Assets and Liabilities at the nominal value of \$1.

Statement of Assets and Liabilities as at: _____ (Date)

Assets	Amount
Line 1 — Cash on deposit	_____
Line 2 — Petty cash and other funds on hand	_____
Line 3 — Accounts receivable	_____
Line 4 — Bonds, stocks, and other securities	_____
Line 5 — Capital assets	_____
Line 6 — Inventory	_____
Line 7 — Other assets at cost	_____

(Provide details of other assets in table below)

Other Assets Table - breakdown of line 7

Description	Amount
_____	_____
_____	_____

Total Assets

Liabilities	Amount
Line 8 — Borrowings	_____

(Provide details of borrowings in table below)

Borrowings Table - breakdown of line 8

Description	Amount
_____	_____
_____	_____

Line 9 — Accounts payable	_____
Line 10 — Debts incurred but not yet billed	_____
Line 11 — Other liabilities	_____

(Provide details of other liabilities in table below)

Other Liabilities Table - breakdown of line 11

Description	Amount
_____	_____
_____	_____

Line 12 - Surplus (Deficit)	_____
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Total Liabilities

Section J: Attestation of CFO

I, _____, (Name of CFO) have prepared the above statement of Assets and Liabilities and hereby attest that to the best of my knowledge and belief this information is true and correct.

X _____
Signature of CFO

Date

X _____
Signature of Witness

Date

Section K: Certification of President (or equivalent) and CFO

The Constituency Association's President (or equivalent) and CFO listed in sections B and C must complete and sign this section.

The registration form will be reviewed by Elections Ontario upon receipt of the form complete with signatures. Please submit this form to:

Elections Ontario - Compliance Division
26 Prince Andrew Place,
Toronto, ON M3C 2H4

Telephone: (416) 325-9401
Toll Free: 1-866-566-9066
Fax: 416-325-9466

The initial registration form must be completed and filed with the Chief Electoral Officer before any financial activity occurs. There is no fee to register a constituency association with the Chief Electoral Officer of Ontario.

The Constituency Association has the responsibility to file a complete and accurate registration form under section 11 of the *Election Finances Act* with the Chief Electoral Officer. Failure to provide the information required in all applicable sections will delay the registration until all the required information is provided. The Chief Electoral Officer may rely and act on such information submitted by the President (or equivalent) or other Executive Officer and CFO of record. Where there is a change of CFO, the retiring CFO must ensure that the books of record and all unused official receipt forms for contributions are transferred to the newly appointed CFO. The Chief Electoral Officer will forward to the new CFO the information required to fulfill the responsibilities on behalf of the Constituency Association.

We, the _____, (Name of Constituency Association) Constituency Association, certify that the information on this form is to the best of our knowledge and belief true and correct.

X _____
Signature of President (or equivalent)
as listed in section B

Date

X _____
Signature of CFO as listed in section C

Date

Section L: Certification of the Political Party CFO or Designate

To be completed by the registered political party.

The Constituency Association must be endorsed by the Political Party with which it is affiliated.

I, _____, (Name of Political Party CFO or Designate) certify that this Constituency Association is endorsed by the _____ (Name of Political Party).

X _____
Signature of Party CFO or Designate

Date

Section M: Certification of Independent Member

To be completed by the Independent Member.

The Constituency Association must be endorsed by the Independent Member with which it is affiliated.

I, _____, (Name of Independent Member) certify that I endorse this Constituency Association.

X _____
Signature of Independent Member

Date